



## COUNTING IN $Z_p[x]$

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### ABSTRACT

If  $f(x) \in Z_p[x]$  is an irreducible polynomial, the number of polynomials  $g(x)$  with  $\deg(g(x)) \leq \deg(f(x)) \ni (g(x), f(x)) = 1$  is the order of the multiplicative group of  $Z_p[x]/(f(x))$ . In this paper we propose a formula for this order in the case when  $f(x)$  is any primitive polynomial. We arrive at this formula by introducing analogues  $\mu_p$  and  $\phi_p$  to Mobius and Euler functions  $\mu$  and  $\phi$  defined on  $\wp Z_p[x]$ , the set of all primitive polynomials in  $Z_p[x]$ .

**Key words :** Finite field, Primitive polynomials

### 1 Introduction

In the construction of cryptosystems with polynomials in  $Z_p[x]$  for prime  $p$ , the quotient ring of the polynomial ring in  $Z_p[x]$  with an ideal generated by  $(f(x))$ , for  $f(x)$  a polynomial in  $Z_p[x]$  is considered and the group of units of this quotient is taken as the message space. In this context it is important to compute the order of this group. If  $f(x)$  is an irreducible polynomial then  $Z_p[x]/(f(x))$  is a field and the group of units has  $p^k - 1$  elements, for  $k = \deg(f(x))$ , [1] [11]. This group of units is given by the set  $\{g(x) \in Z_p[x] : \deg(g(x)) < \deg(f(x)) \ni (g(x), f(x)) = 1\}$ , as for any  $g(x) \in Z_p[x]/(f(x))$ ,  $g(x)$  is invertible if and only if  $\deg(g(x)) < \deg(f(x))$  and  $\gcd(g(x), f(x)) = 1$ . [8]

In this paper we propose a formula for the order of group of units in  $Z_p[x]/(f(x))$ , for

$f(x)$  any primitive polynomial in  $\mathbb{Z}_p[x]$ , we denote this order by  $\phi_p(f(x))$  and we prove this result for  $f(x)$  that are not irreducible as well. We develop the formula for  $\phi_p(f(x))$  by using the analogues  $\mu_p$  and  $\phi_p$  to Mobius function  $\mu$  and Euler function  $\phi$  respectively.[2][3][9] We introduce the functions  $\mu_p$  and  $\phi_p$  on  $\wp\mathbb{Z}_p[x]$  and prove some results relating  $\mu_p$  and  $\phi_p$  in the following section.

## 2 $\mu$ and $\phi$ analogues in $\mathbb{Z}_p[x]$ :

In this section we define two functions  $\mu_p$  and  $\phi_p$  on  $\wp\mathbb{Z}_p[x]$  that are analogues to the arithmetical functions Mobius function  $\mu(n)$  and Euler function  $\phi(n)$

### 2.1 $\mu_p$ an analogue to Mobius function on $\wp\mathbb{Z}_p[x]$ :

**Definition 2.1.1** A real valued function  $\mu_p$  on  $\wp\mathbb{Z}_p[x]$  is defined as follows :

$$\mu_p(f(x)) = 1 \text{ if } \deg(f(x)) = 0.$$

If  $\deg(f(x)) > 0$  and  $f = f_1^{a_1} f_2^{a_2} f_3^{a_3} \dots f_n^{a_n}$ , for  $f_i(x)$  irreducible polynomials in  $\mathbb{Z}_p[x]$ ,

$$\mu_p(f(x)) = \begin{cases} (-1)^n, & \text{if } a_1 = a_2 = a_3 = \dots = a_n = 1, \\ 0 & \text{otherwise} \end{cases}$$

**Theorem 2.1.2** For  $f(x) \in \wp\mathbb{Z}_p[x]$  with  $\deg(f(x)) \geq 0$  we have

$$\sum_{d(x)|f(x)} \mu_p(d(x)) = \begin{cases} 1, & \text{if } \deg(f(x)) = 0, \\ 0, & \text{if } \deg(f(x)) > 0. \end{cases}$$

*Proof.* Let  $f(x) \in \wp\mathbb{Z}_p[x]$ , then  $f(x)$  is a primitive polynomial. If  $\deg(f(x)) = 0$ ,  $f(x) = c \in \mathbb{Z}_p$  and  $c \neq 0$  further note  $c = 1$  as  $f(x)$  is primitive. therefore

$$\sum_{d(x)|f(x)} \mu_p(d(x)) = 1$$

If  $\deg(f(x)) > 0$ , with  $f = f_1^{a_1} f_2^{a_2} f_3^{a_3} \dots f_r^{a_r}$  and  $D$  is the set of divisors of  $f(x) \in \mathbb{Z}_p[x]$  then for

$D_1 = \{d(x) : d(x) \mid f(x) \text{ and } d(x) \text{ has no square irreducible factor}\}$  and

$D_2 = \{d(x) : d(x) \mid f(x) \text{ with } d(x) \text{ has a square irreducible factor}\}$

$D$  is given as  $\{d(x) \in \mathbb{Z}_p[x] : d(x) \mid f(x)\} = D_1 \cup D_2$  and

$$\begin{aligned} \sum_{d(x) \mid f(x)} \mu_p(d(x)) &= \sum_{\substack{d(x) \mid f(x) \\ d(x) \in D}} \mu_p(d(x)) \\ &= \sum_{\substack{d(x) \mid f(x) \\ d(x) \in D_1 \cup D_2}} \mu_p(d(x)) \\ &= \sum_{\substack{d(x) \mid f(x) \\ d(x) \in D_1}} \mu_p(d(x)) + \sum_{\substack{d(x) \mid f(x) \\ d(x) \in D_2}} \mu_p(d(x)) \end{aligned}$$

now as  $D_1$  consists of the factors

$1, f_1(x), f_2(x), f_3(x), \dots, (f_1(x)f_2(x)), (f_1(x)f_3(x)), \dots, (f_1(x)f_2(x)f_3(x) \dots f_r(x))$ , we

have

$$\begin{aligned} &\sum_{d(x) \mid f(x)} \mu_p(d(x)) \\ &= \mu_p(1) + \mu_p(f_1(x)) + \dots + \mu_p(f_r(x)) + \mu_p((f_1(x)f_2(x))) + \dots + \mu_p((f_1(x)f_2(x) \dots f_r(x))) \\ &= 1 + \binom{r}{1}(-1) + \binom{r}{2}(-1)^2 + \dots + \binom{r}{r}(-1)^r \\ &= (1-1)^r = 0 \end{aligned}$$

therefore  $\sum_{d(x) \mid f(x)} \mu_p(d(x)) = 0$  if  $\deg(f(x)) > 0$ .

## 2.2 $\phi_p$ an Analogue to Euler function $\phi$ on $\wp \mathbb{Z}_p[x]$ :

**Definition 2.2.1** we define a function  $\phi_p$  on  $\wp \mathbb{Z}_p[x]$  follows:

For any  $f(x) \in \wp \mathbb{Z}_p[x]$ ,

$\phi_p(f(x)) = 1$  if  $\deg(f(x)) = 0$ ;

If  $\deg(f(x)) > 0$ .

Then  $\phi_p(f(x))$  is the number of polynomials  $g(x) \in \mathbb{Z}_p[x]$  such that  $\deg(g(x)) < \deg(f(x))$  and  $(g(x), f(x)) = 1$ .

$$\text{Note: } \phi_p(f(x)) = \sum_{\substack{i=1 \\ g(x) \in k_f \\ (g(x), f(x))}}^n 1 \text{ where } k_f = \{g(x) \in \mathbb{Z}_p[x] : \deg(g(x)) < \deg(f(x))\},$$

**Theorem 2.2.2** For  $\deg(f(x)) \geq 1$  we have

$$\phi_p(f(x)) = \sum_{d(x)|f(x)} \mu_p(d(x)) \cdot \frac{p^{\deg(f(x))}}{p^{\deg(d(x))}}$$

*Proof.* Let  $k_f = \{g(x) \in \mathbb{Z}_p[x] : \deg(g(x)) < \deg(f(x))\}$ .

And note if  $\deg(f(x)) = s$ , then for all  $g(x) \in \mathbb{Z}_p[x]$ ,  $\deg(g(x)) \leq s$ .

we have  $g(x) = a_0 + a_1x + \dots + a_{s-1}x^{s-1}$  for  $a_i \in \mathbb{Z}_p \forall 0 \leq i \leq s$

Now as the number of  $k_f$  has  $p^s$  elements[8]

Possible sequences  $(a_0, a_1, a_2, \dots, a_{s-1}) = p^s$

$$\begin{aligned} \phi_p(f(x)) &= \sum_{\substack{g_i(x) \in k_f \\ (g_i(x), f(x))=1}} 1 \\ &= \sum_{\substack{i=1 \\ (g_i(x), f(x))=1}}^{p^s} 1 \\ &= \sum_{i=1}^{p^s} \sum_{d(x)|(g_i(x), f(x))} \mu_p(d(x)) \quad (\text{By theorem 2.1.2}) \\ &= \sum_{i=1}^{p^s} \sum_{\substack{d(x)|g_i(x) \\ d(x)|f(x)}} \mu_p(d(x)) \\ &= \sum_{d(x)|f(x)} \sum_{i=1}^{p^{\deg(f(x))-\deg(d(x))}} \mu_p(d(x)) \end{aligned}$$

$$\begin{aligned}
&= \sum_{d(x)|f(x)} \mu_p(d(x)) \sum_{i=1}^{p^{\deg(f(x))-\deg(d(x))}} 1 \\
&= \sum_{d(x)|f(x)} \mu_p(d(x)) \cdot \frac{p^{\deg(f(x))}}{p^{\deg(d(x))}}
\end{aligned}$$

Therefore  $\phi_p(f(x)) = \sum_{d(x)|f(x)} \mu_p(d(x)) \cdot \frac{p^{\deg(f(x))}}{p^{\deg(d(x))}}$

**Theorem 2.2.3** For  $\deg(f(x)) \geq 0$  we have

$$\phi_p(f(x)) = p^{\deg(f(x))} \prod_{g(x)|f(x)} \left(1 - \frac{1}{p^{\deg(g(x))}}\right)$$

where the product runs over the irreducible factors of  $f(x)$ .

*Proof.* If  $\deg(f(x)) = 0$  we have  $f(x) = c$  and by definition we have  $\phi_p(f(x)) = \phi_p(c) = 1$ . On the R.H.S the product is empty and as  $p^{\deg(f(x))} = p^0 = 1$ . Therefore the result holds for  $\deg(f(x)) = 0$ .

Now if  $\deg(f(x)) > 0$  and let  $f(x) = g_1^{e_1} \cdot g_2^{e_2} \dots g_r^{e_r}$ , Then

$$\begin{aligned}
&\prod_{g(x)|f(x)} \left(1 - \frac{1}{p^{\deg(g(x))}}\right) = \prod_{i=1}^r \left(1 - \frac{1}{p^{\deg(g_i(x))}}\right) \\
&= 1 - \sum_i \frac{1}{p^{\deg(g_i(x))}} + \sum_{i,j} \frac{1}{p^{\deg(g_i(x))} \cdot p^{\deg(g_j(x))}} + \dots + \frac{(-1)^r}{p^{\deg(g_1(x))} \dots p^{\deg(g_r(x))}} \\
&= 1 - \sum_i \frac{1}{p^{\deg(g_i(x))}} + \sum_{i,j} \frac{1}{p^{\deg(g_i(x))+\deg(g_j(x))}} + \dots + \frac{(-1)^r}{p^{\deg(g_1(x))+\dots+\deg(g_r(x))}} \\
&= 1 - \sum_i \frac{1}{p^{\deg(g_i(x))}} + \sum_{i,j} \frac{(-1)^2}{p^{\deg(g_i(x) \cdot g_j(x))}} + \dots + \frac{(-1)^r}{p^{\deg(g_1(x) \dots g_r(x))}} \\
&= \sum_{d(x)|f(x)} \frac{\mu_p(d)}{p^{\deg(d(x))}} \frac{p^{\deg(f(x))}}{p^{\deg(f(x))}} \\
&= \frac{1}{p^{\deg(f(x))}} \sum_{d(x)|f(x)} \frac{\mu_p(d) \cdot p^{\deg(f(x))}}{p^{\deg(d(x))}} \\
&= \frac{1}{p^{\deg(f(x))}} \cdot \phi_p(f(x))
\end{aligned}$$

$$\phi_p(f(x)) = p^{\deg(f(x))} \cdot \prod_{g(x)|f(x)} \left(1 - \frac{1}{p^{\deg(g(x))}}\right)$$

where the product is over  $g(x)$ , irreducible factors of  $f(x)$

**Example 2.2.4** Let  $f(x) = x^2 + x + 2 \in \mathbb{Z}_3[x]$ , then  $f(x)$  is an irreducible polynomial over  $\mathbb{Z}_3$  and  $\mathbb{Z}_3[x]/(x^2 + x + 2)$  is a field with  $3^2$  elements, [4] therefore there are  $(3^2 - 1) = 8$  invertible elements in this field, that is  $\phi_p(f(x)) = 8$ .

Now by the above formula we have  $\phi_p(f(x)) = p^{\deg(f(x))} \cdot \prod_{g(x)|f(x)} \left(1 - \frac{1}{p^{\deg(g(x))}}\right)$

$$\begin{aligned} \phi_3(x^2 + x + 2) &= 3^2 \cdot \left(1 - \frac{1}{3^2}\right) \\ &= 3^2 - 1 \\ &= 8. \end{aligned}$$

The group of units is given as

$$\{g(x) \in \mathbb{Z}_3/(f(x)) : g(x) = ax + b; a, b \in \mathbb{Z}_3 \text{ and } (g(x), f(x)) = 1\}$$

**Example 2.2.5** Let  $f(x) = x^2 + 2$  and  $\mathbb{Z}_3[x]$ , then  $f(x) = x^2 + 2$  is reducible over  $\mathbb{Z}_3$  and

$$f(x) = x^2 + 2 = (x-1)(x+1).$$

Now by the above formula we have

$$\begin{aligned} \phi_p(f(x)) &= p^{\deg(f(x))} \cdot \prod_{g(x)|f(x)} \left(1 - \frac{1}{p^{\deg(g(x))}}\right) \\ &= 3^2 \left(1 - \frac{1}{3}\right) \left(1 - \frac{1}{3}\right) \\ &= (3-1)(3-1) \\ &= 2 \cdot 2 \\ &= 4 \end{aligned}$$

Further given  $f(x) = x^2 + 2 \in \mathbb{Z}_3[x]$ ,  $\phi_3(f(x)) = 4$  and note that  $\{0, 1, 2, x, x+1, x+2, 2x, 2x+1, 2x+2\}$  is the set of all elements of  $\mathbb{Z}_3[x]/(x^2+2)$  and the four invertible elements are  $\{1, 2, x, 2x\}$ .

### 3 Conclusion:

The formula for  $\phi_p(f(x))$  gives the order of the multiplicative group  $\mathbb{Z}_p[x]/(f(x))$  for  $f(x)$  any primitive polynomial in  $\mathbb{Z}_p[x]$ ; This product formula developed is quite useful in the construction of cryptosystem with polynomial in  $\mathbb{Z}_p[x]/(f(x))$ , with the group of units of the quotient  $\mathbb{Z}_p[x]/(f(x))$  as message space.

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